

## Documents for your signature-Guideline

Kindly read guidelines before you fill in the documents.

### 1-Specimen Client Agreement

Below, we have provided guidance on how to complete the necessary sections:

#### Page 1:

Please date the agreement by filling in the blank spaces provided.

For example, "*on this 19th day of February, Two Thousand and Twenty-Five at Colombo.*"  
Next, kindly fill in the Company name, Company registration number and address underneath the heading "*By and Between.*"

#### SPECIMEN CLIENT AGREEMENT

This Agreement is made and entered into on this .....<sup>19th</sup> day of .....<sup>February</sup>  
Thousand and .....<sup>Twenty-Five</sup> at .....<sup>Colombo</sup>

#### **By and Between**

Madugi Nisha Perera

(1).....(Name) bearing National Identity Card  
No./Company Registration No. ....<sup>10101010123V</sup> of  
(Address).....<sup>32, Colombo Road, Gampaha.</sup>

(2).....(Name) bearing National Identity Card  
No./Company Registration No. .... of  
(Address).....

(3).....(Name) bearing National Identity Card  
No./Company Registration No. .... of  
(Address).....

(hereinafter sometimes jointly and severally referred to as the "Client/s") of the **One part**

**AND**

**Page 8:**

Please ensure that the authorized person's signature is placed alongside the signature of a witness in the spaces provided.

Ex-:

Signature of the Client(s)/Authorized Signatory of the Client(s)	Authorized Signatory of the Stockbroker Firm
1.....  .....	.....
2.....	
3.....	
Witness:  .....	Witness: .....

**Page 10 (schedule 2):**

Attached, you will find a letter explaining the risk disclosure statement.

Please read and understand it thoroughly before signing page 10 (Schedule 2) of the agreement.

Once you have reviewed the risk disclosure letter, please fill in the Client/Company name, Client nic/Company registration number, and address in the spaces provided on page 10 (Schedule 2) of the agreement.

**Schedule 2**

**ACKNOWLEDGEMENT**

I/We, (1) ..... Madugi Nisha Perera .....(Name) bearing National Identity Card No./Company registration No ..... 10101010123V ..... of 32 Colombo Road, Gampaha .....(Address),

(2) .....(Name) bearing National Identity Card No./Company registration No ..... of .....(Address),

(3) .....(Name) bearing National Identity Card No./Company registration No ..... of .....(Address),

agree and acknowledge that the following risks involved in investing/trading in securities listed in the Colombo Stock Exchange ('Risk Disclosure Statement's) were explained to me/us by .....(Name), an employee of First Guardian Equities Pvt Ltd ('Stockbroker Firm'), and I/we was/were invited to read the below mentioned Risk Disclosure Statements, ask questions and take independent advice if I/we wish to.

Additionally, I/we acknowledge that I/we understood the following Risk Disclosure Statements;

Then, place the signature of the authorized person and date at the bottom of the page.

wish to.

Additionally, I/we acknowledge that I/we understood the following Risk Disclosure Statements;

- a) The prices of securities fluctuate, sometimes drastically and the price of a security may depreciate in value and may even become valueless.
- b) It is possible that losses may be incurred rather than profits made as a result of transacting in securities.
- c) It is advisable to invest funds that are not required in the short term to reduce the risk of investing.

(1).......... 2)..... (3).....

Signature/s of the Client/s

19-02-2025

.....

Date

**2Declaration form (attached in KYC )**

Kindly ensure that you fill in your name and residential address at the top of the page and provide your name and signature under the Applicant section.

Additionally, kindly provide the details of the witnesses (Name ,nic number & signature) under the Details of the witness' section, as witness signatures are also a mandatory requirement.

**1)Operating Instructions form -**

Under the instructions for settlement kindly tick

(a) Sales purchase separate

**OR**

(d) hold the sales proceeds for future purchases as yes C place your signature C please complete the other details C signature.

**2) Online Trading Facility form -** Kindly fill the details in the first page & place your signature on page no 3.